

(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT &amp; TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER  
112415

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.  
(if known, sec 37 C.F.R.1.5)  
10/089,172

X9

INTERNATIONAL APPLICATION NO. PCT/DE00/02419	INTERNATIONAL FILING DATE July 25, 2000	PRIORITY DATE CLAIMED October 1, 1999
TITLE OF INVENTION PROCESS AND APPARATUS FOR PRODUCING PLANE-PARALLEL PLATELETS		
APPLICANT FOR DO/EO/US Jasmin WEINERT		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A FIRST preliminary amendment.  
 A SECOND or SUBSEQUENT preliminary amendment.
14.  A substitute specification.
15.  Entitlement to small entity status is hereby asserted.
16.  Other items or information: Request for Refund

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/089,172	INTERNATIONAL APPLICATION NO. PCT/DE00/02419	ATTORNEY'S DOCKET NUMBER 112415		
17. <input type="checkbox"/> The following fees are submitted:		CALCULATIONS		
<b>Basic National f (37 CFR 1.492(a)(1)-(5)):</b>		PTO USE ONLY		
Search Report has been prepared by the EPO or JPO ....\$890.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$710.00				
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$740.00				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,040.00				
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....\$ 100.00				
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$		
Claims	Number Filed	Number Extra	Rate	
Total Claims	- 20 =		X \$ 18.00	\$
Independent Claims	- 3 =		X \$ 84.00	\$
Multiple dependent claim(s)(if applicable)			+ \$280.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$		
Reduction by 1/2 for filing by small entity, if applicable.		-	\$	
<b>SUBTOTAL =</b>		\$		
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR .1.492(f)).		\$		
<b>TOTAL NATIONAL FEE =</b>		\$		
		Amount to be refunded	\$	
		Charged	\$	
a. <input type="checkbox"/> Check No. _____ in the amount of \$ _____ to cover the above fees is enclosed.				
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.				
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>				
SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320				
 NAME: James A. Oliff REGISTRATION NUMBER: 27,075				
Date: <u>June 21, 2002</u> NAME: Thomas J. Pardini REGISTRATION NUMBER: 30,411				

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

ATTN: PCT REFUND  
DIVISION

Jasmin WEINERT

Application No.: 10/089,172

Docket No.: 112415

Filed: May 28, 2002

For: PROCESS AND APPARATUS FOR PRODUCING PLANE-PARALLEL  
PLATELETS

REQUEST FOR REFUND TO DEPOSIT ACCOUNT

Director of the U.S. Patent and Trademark Office  
Washington, D.C. 20231

Sir:

Attached hereto is a copy of a Monthly Statement of Deposit Account (dated June 18, 2002) showing charges of \$130, \$252 and \$280 related to the above-referenced application. These charges are marked with Fee Codes 154, 966 and 968 which are the Patent Office Fees for Oath or Declaration after twenty or thirty months from priority date, claims over twenty and multiple dependent claims. However, the total number of claims is 16, a Preliminary Amendment was filed to remove multiple dependencies and the Declaration surcharge of \$65 was paid on May 28, 2002. A copy of the PCT Transmittal Letter, Declaration, Preliminary Amendment and date stamped postcard receipts are attached hereto.

Accordingly, it is respectfully requested that this charge be re-credited to Deposit Account No. 15-0461 and that the Patent Office acknowledge this credit in writing.

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Thomas J. Pardini  
Registration No. 30,411

JAO:TJP/cmm

Date: June 21, 2002

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

DEPOSIT ACCOUNT USE  
AUTHORIZATION  
Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461

Deposit Account Statement

Requested Statement Month: June 2002  
Deposit Account Number: 150461  
Name: OLIFF & BERRIDGE P L C  
Attention: BARBARA WATTS  
Address: 277 SOUTH WASHINGTON STREET  
City: ALEXANDRIA  
State: VA  
Zip: 22314

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT
06/18	243	10089172	112415	154	\$130.00
06/18	244	10089172	112415	966	\$252.00
06/18	245	10089172	112415	968	\$280.00